

01-0702

A

ASSISTANT COMMISSIONER FOR PATENTS
 U.S. PATENT APPLICATION
 Washington, D.C. 20231

DOCK NO. CH920000071US1
 Date: November 9, 2001

Transmitted herewith for filing is the Patent Application under Rule 53(f) (Missing Parts) of:

Inventors: JAMES GIMZEWSKI, RETO SCHLITTLER, JIN WO SEO

For: CRYSTALS COMPRISING SINGLE-WALLED CARBON NANOTUBES

Enclosed are:

☒ 4 Sheets of Informal Drawings.

☐ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

☐ A certified copy of a European Patent Office Document -Appl. No. 00124766.7 application.

☐ Copy of Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 740.00
TOTAL CLAIMS	34 - 20 =	14	X \$ 18 =	\$ 252.00
INDEP CLAIMS	10 - 3 =	7	X \$ 84 =	\$ 588.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ \$ 270 =	\$ 0.00
			TOTAL	\$ 1,580.00

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

☒ Please charge my Deposit Account No. 09-0468 in the amount of \$1,580.00.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By Marian Underweiser
 MARIAN UNDERWEISER
 Registration No.: 46,134
 Tel. (914) 945-1255

DEPT.

BEST AVAILABLE COPY

BEST AVAILABLE COPY

Atty. Docket No. CH920000071

JC932 U.S. PRO
10/037045
11/09/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: JAMES GIMZEWSKI, et al

Serial No.

Group Art Unit:

Filed: (Herewith)

Examiner:

For: **CRYSTALS COMPRISING SINGLE-WALLED CARBON NANOTUBES**

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EV001687912US

Date of Deposit: November 9, 2001

I hereby certify that the following **attached** paper or fee

Acknowledgment Card
Patent Application under Rule 53(f) (Missing Parts)
Patent Application Transmittal Letter (original and one copy)
Four (4) sheets of drawings
Copy of Declaration and Power of Attorney
Copy of EPO Document-Application No. 00124766.7
ASSIGNMENT TO IBM

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Robin J. Villaronga

(Typed or Printed name of person mailing paper)

(Signature of person mailing paper or fee)

NOTE: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) **be signed** and (2) **fully identify and be securely attached to the paper or fee it accompanies**. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g., complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.